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JACOB G. WEINTRAUB

Admitted in NY only
 Passed the Patent Bar Examination

September 14, 2001

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

Re:

U.S. Patent Application Serial No. 09/896,417

Applicant: Richard Sharp Filed: June 28, 2001

Title: METHOD FOR ACHIEVING TRANSMISSION PERFORMANCE OVER

FIBER USING A MACH-ZEHNDER MODULATOR

Attorney Docket No.: SYCS-016/P79

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Response to Notice To File Missing Parts of Application (2pgs);
- 2. Copy of Form PTO-1533 (1pg);
- 3. An Executed Declaration, Petition and Power of Attorney (5pgs);
- 4. Request to Correct Filing Receipt (1pg);
- 5. Copy of Filing Receipt with corrections marked in red;
- 6. Check in the amount of \$130.00 (missing parts); and
- 7. Return postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on:

September 14, 2001

Date

David R. Burns, Reg. No. 46,590

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

David R Bums

David R. Burns Reg. No. 46,590 Attorney for Applicant



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Richard Sharp

Serial No.: 09/896,417

Filed: June 28, 2001

For: METHOD FOR ACHIEVING TRANSMISSION

PERFORMANCE OVER FIBER USING A MACH-ZEHNDER

MODULATOR

Attorney Docket No.: SYCS-031/P79

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

Certificate of First Class Mailing (37 C.F.R. §1.8(a))

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on the date set forth below.

September 14, 2001

Date of Signature and of Mail Deposit

By:

David R. Burns

Reg. No. 46,590

Attorney for Applicant

Group Art Unit: 2874

Examiner: Not Assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Dear Sir:

Responsive to the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b) Filing Date Granted mailed August 20, 2001, Applicants' attorney is enclosing an executed Declaration, Petition and Power of Attorney for Patent

Attorney Leket No.: SYCS-031/P79

U.S. Serial No.: 09/8,417

Group Art 2874

Application together with a copy of the Notice to File Missing Parts of Nonprovisional Application Filed Under 37 CFR 1.53(b) Filing Date Granted.

In addition, Applicants' attorney is enclosing a check in the amount of \$130.00 for the declaration surcharge as set forth in 37 CFR 1.16(e) based on large entity status.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

Respectfully submitted,

David R. Burns

Registration No. 46,590 Attorney for Applicant

LAHIVE & COCKFIELD, LLP 28 State Street Boston, MA 02109 Tel. (617) 227-7400

Dated: September 14, 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit: 2874

Examiner: Not Yet Assigned

In re the application of: Richard Sharp

Serial No.: 09/896,417

Filed: June 28, 2001

For: METHOD FOR ACHIEVING

TRANSMISSION PERFORMANCE OVER FIBER USING A MACH-ZEHNDER MODULATOR

Attorney Docket No.: SYCS-031/P79

Box Missing Parts Commissioner for Patents Washington, D.C. 20231

REQUEST FOR CORRECTION OF FILING RECEIPT

Dear Sir:

The Filing Receipt for the above-identified patent application has a word in the title incorrectly spelled. The correct spelling should be "Method for Achieving Improved Transmission Performance over Fiber Using a Mach-Zehnder Modulator" instead of "Method for Acheiving Improved Transmission Performance over Fiber Using a Mach-Zehnder Modulator. A copy of the Filing Receipt with the changes noted thereon is submitted herewith.

| I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Washington, DC 20231 on: |
|--|
| September 14, 2001 |
| Data |

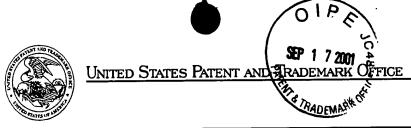
David R. Burns, Reg. No. 46,590

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

David R. Burns Reg. No. 46,590

Attorney for Applicant



COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER

LAHIVE & COCKFIELD 28 STATE STREET

BOSTON, MA 02109

000959

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/896,417

06/28/2001

Richard Sharp

P-79/SYCS-031

CONFIRMATION NO. 2279

FORMALITIES LETTER

OC000000006449648

Date Mailed: 08/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice MUST be returned with the reply.

KSAYSON

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231

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APPLICATION NUMBER

FILING DATE

GRP ART UNIT

FIL FEE REC'D ATTY.DOCKET.NO

P-79/SYCS-

DRAWINGS

TOT CLAIMS

IND CLAIMS 4

09/896,417

06/28/2001

2874

862

031

9

24

CONFIRMATION NO. 2279

000959 LAHIVE & COCKFIELD 28 STATE STREET **BOSTON, MA 02109**

FILING RECEIPT

OC000000006449647

Date Mailed: 08/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Richard Sharp, Wayland, MA;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 08/20/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

N n-Publication Request: No

Early Publication Request: No

Title

achieving

improved transmission performance over fiber using a Mach-Zehnder Method for and modulator

Preliminary Class

385

RECEIVED LAHIVE & COCKFIELD DOCKET DEPT.

RETRIEVED:

FORWARDED

Data entry by : SAYSON, KLEITON Team : OIPE Date: 08/20/2001

I NEBERI NU BIRIK KAN KETA METE KAN KEMETANI KAN KAN KAN KAN KAN KAN KEN KAN KAN KAN KAN KAN KAN KAN KAN KAN K